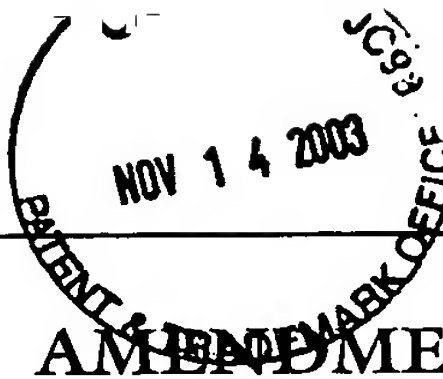




Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2004 <small>Effective 10/01/2003, Patent fees are subject to annual revision.</small>		Complete if Known				
		Application Number	10/633,721			
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Filing Date	August 5, 2003			
		First Named Inventor	Noboru Sasa			
		Examiner Name	Not Yet Assigned			
TOTAL AMOUNT OF PAYMENT (\$)		86.00	Art Unit	2655		
		Attorney Docket No.	R2184.0081/P081-A			
METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)				
<input type="checkbox"/> Check <input checked="" type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None		3. ADDITIONAL FEES				
<input checked="" type="checkbox"/> Deposit Account: Deposit Account Number: 04-1073 Deposit Account Name: Dickstein Shapiro Morin & Oshinsky LLP		Large Entity Small Entity				
The Director is authorized to: (check all that apply)		Fee Code Fee (\$) Fee Code Fee (\$) Fee Description Fee Paid				
<input checked="" type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments		1051	130	2051 65	Surcharge - late filing fee or oath	
<input checked="" type="checkbox"/> Charge any additional fee(s) or any underpayment of fee(s)		1052	50	2052 25	Surcharge - late provisional filing fee or cover sheet.	
<input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.		1053	130	1053 130	Non-English specification	
FEE CALCULATION		1812	2,520	1812 2,520	For filing a request for <i>ex parte</i> reexamination	
1. BASIC FILING FEE		1804	920*	1804 920*	Requesting publication of SIR prior to Examiner action	
Large Entity Small Entity		1805	1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
Fee Code Fee (\$)	Fee Code Fee (\$)	1251	110	2251 55	Extension for reply within first month	
1001 770	2001 385	1252	420	2252 210	Extension for reply within second month	
1002 340	2002 170	1253	950	2253 475	Extension for reply within third month	
1003 530	2003 265	1254	1,480	2254 740	Extension for reply within fourth month	
1004 770	2004 385	1255	2,010	2255 1,005	Extension for reply within fifth month	
1005 160	2005 80	1401	330	2401 165	Notice of Appeal	
SUBTOTAL (1) (\$)		1402	330	2402 165	Filing a brief in support of an appeal	
0.00		1403	290	2403 145	Request for oral hearing	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE		1451	1,510	1451 1,510	Petition to institute a public use proceeding	
Total Claims 16 -20** =		1452	110	2452 55	Petition to revive - unavoidable	
Independent Claims 4 -3** =		1453	1,330	2453 665	Petition to revive - unintentional	
Multiple Dependent		1501	1,330	2501 665	Utility issue fee (or reissue)	
Large Entity Small Entity		1502	480	2502 240	Design issue fee	
Fee Code Fee (\$)	Fee Code Fee (\$)	1503	640	2503 320	Plant issue fee	
1202 18	2202 9	1460	130	1460 130	Petitions to the Commissioner	
1201 86	2201 43	1807	50	1807 50	Processing fee under 37 CFR 1.17(q)	
1203 290	2203 145	1806	180	1806 180	Submission of Information Disclosure Stmt	
1204 86	2204 43	8021	40	8021 40	Recording each patent assignment per property (times number of properties)	
1205 18	2205 9	1809	770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	
SUBTOTAL (2) (\$)		1810	770	2810 385	For each additional invention to be examined (37CFR 1.129(b))	
86.00		1801	770	2801 385	Request for Continued Examination (RCE)	
**or number previously paid, if greater; For Reissues, see above		1802	900	1802 900	Request for expedited examination of a design application	
		Other fee (specify)		SUBTOTAL (3) (\$)		0.00
		*Reduced by Basic Filing Fee Paid				
SUBMITTED BY (Complete if applicable)						
Name (Print/Type)	Gary L. Veron	Registration No. (Attorney/Agent)	39,057	Telephone	(202) 785-9700	
Signature	Gary Veron			Date	November 14, 2003	



AMENDMENT TRANSMITTAL LETTER

Docket No.
R2184.0081/P081-AApplication No.
10/633,721Filing Date
August 5, 2003Examiner
Not Yet AssignedArt Unit
2655

Applicant(s): Noboru Sasa, et al.

Invention: OPTICAL RECORDING METHOD AND APPARATUS FOR AN OPTICAL STORAGE
MEDIUM

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is an amendment in the above-identified application.

The fee has been calculated and is transmitted as shown below.

CLAIMS AS AMENDED					
	Claims Remaining After Amendment	Highest Number Previously Paid	Number Extra Claims Present	Rate	
Total Claims	16	- 20 =		x	0.00
Independent Claims	4	- 3 =	1	x 86.00	86.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					
Other fee (please specify):					
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT:					86.00

☒ Large Entity☐ Small Entity☐ No additional fee is required for this amendment.☐ Please charge Deposit Account No. _____ in the amount of \$ _____.
A duplicate copy of this sheet is enclosed.☐ A check in the amount of \$ _____ to cover the filing fee is enclosed.☒ Payment by credit card. Form PTO-2038 is attached.☒ The Director is hereby authorized to charge and credit Deposit Account No. 04-1073
as described below. A duplicate copy of this sheet is enclosed.☒ Credit any overpayment.☒ Charge any additional filing or application processing fees required under 37 CFR 1.16 and 1.17.

Gary L. Veron
Gary L. Veron
Attorney Reg. No.: 39,057

Dated: November 14, 2003

DICKSTEIN SHAPIRO MORIN & OSHINSKY LLP
2101 L Street NW
Washington, DC 20037-1526
(202) 775-4742



Docket No.: R2184.0081/P081-A
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Noboru Sasa, et al.

Conf. No.: 5704

Application No.: 10/633,721

Art Unit: 2655

Filed: August 5, 2003

Examiner: Not Yet Assigned

For: OPTICAL RECORDING METHOD AND
APPARATUS FOR AN OPTICAL STORAGE
MEDIUM

SECOND PRELIMINARY AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

INTRODUCTORY COMMENTS

Prior to examination on the merits, please amend the above-identified U.S. patent application as follows:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks/Arguments begin on page 6 of this paper.